DSE Brokerage Account Opening Form

UNIROYL SECURITIES LIMITED

45 DILKUSHA C/A (2^{ND} FLOOR), DHAKA 1000, TEL: 9563073, 9563074 SEC REGISTRATION # 3.1/DSE-89/2006/114 DSF MEMBER # 89 CDBL DP # 21

FIRST APPLICANT'S
PASSPORT SIZE
PHOTO

SECOND APPLICANT'S
PASSPORT SIZE
PHOTO

USL CLIENT CODE....... Date (DDMMYYYY)......

	Cash Margin Margin			Company Joint Holder
	to open a Brokerage A	ccount in my / οι	ir name as per the followin	g details:
First Applicant				
Name in Full of Account I	Holder (Up to 99 Characters)			
(In case of a Company/	Firm/Statutory Body) Name of C	Contact Person		
In Case of Individual	Male Female]		
Father's /CEO Name		_	Mother's Name	
Husband's / Wife's Na	ame		Occupation	
•			•	Telephone
Residency: Resident	t Non Resident	Nationality	Date Of Birth (DDM	IMYYYY)
In Case of Company	:			Data of Danistration (DDAMANAA)
Registration No				Date of Registration (DDMMYYYY)
Joint Application ((Second Account Holde	er)		
Name in Full of Account I	Holder (Up to 99 Characters)			
	Male Female			
			Mathar'a Nama	
City	Post Code	. State / Division	Country	Telephone
Mobile Phone	Fax	E-mail		
Residency: Resident	Non Resident	Nationality	Date Of Birth (DDN	MMYYYY)
		•		
ADDITIONAL INF	ORMATION			
Are you Officer/Dire	notor of any Stock Evolune	go /Listed Company	? Yes	No 🗔
	ector of any Stock Exchange			
If Yes, name/s of th	e Stock Exchange/Listed	Company:		
DECLARATION				
The rules and regulat	ions of Dhaka Stock Excha	inge Limited, Central	Depository Bangladesh Limited	and UNIROYAL SECURITIES LIMITED
				the same and I/we agree to abide by and
				ne particulars given by me/us are true the /misleading information given by me/us or
			ermination and further action.	ordanigormanori given byordo er
Applicants		Name		Signature
First Application				
Second Applicant				
Authorized				
Person (if any) Introduction				
I confirm the identity, of	occupation and address of the	e applicant(s)	Introdu	ucer's Name
	(Signature of Introducer)			
FOR OFFICIAL ALS	(Signature of Introducer)		 	
FOR OFFICIAL US	SE ONLY:			
Name of Officer of Me	SE ONLY: ember Firm Accepting the Acc			

PROXY AUTHORIZATION:

Dated:	
Dear Sir,	
This is to bring to your kind attention that I,a client of your firm, UNIROYAL SECURITIES LIMITED, bearing USL (
CODE and BO-ID and BO-ID Do hereby authorize ANY EMPLOYEE of UNIROYAL SECURITIES LIMITE	ED
situated at, as my PRC sign Monthly Account Ledger, Buy/Sell Orders, Pay In/Pay Out, Transaction C	OXY to
Sheet, and Trade Confirmation on my behalf. I will be obliged if this authorization of Proxy is made effective immed	
Thank you,	,
First Applicant Signature Second Applicant Sign	nature

CDBL Account Opening Form

(Bye Law 7.3.3(b))

UNIROYAL SECURITIES LIMITED

45 DILKUSHA C/A (2ND Floor), Dhaka 1000, TEL:956-3073,956-3074 REGISTRATION # 3.1/DSE-89/2006/114 DSE MEMBER # 89, CDBL DP # 21

Please complete all details in CAPITAL Letters. Please fill all names correctly. Names once captured cannot be changed. All communication shall be sent only to the First Named Account Holder's correspondence address.

Application No	
Please Tick whichever is applicable	
Account Category: Regular Omnibus Clearing Account Type: Individual Company Joint F	older
DP ID BO ID Date Account Oper	ad (DDMMVVVV)
1 7 7 0 0 1 2 0 1 7 7 0 0	
I / We request you to open a Brokerage Account in my / our name as per the following details:	
First Applicant	
Name in Full Account Holder (Up to 99 Characters).	
Short Name of Account Holder (insert full name starting with Title i.e Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.	. Mr./Mrs./Ms./Dr.
(In case of a Company/Firm/Statutory Body) Name of a Contact Person	
In Case Individual Male Female	
Father's/ CEO Name	
Husband's / Wife's Name Occupation (30 Characters)	
Contact Details:	
Address	
City	
Mobile Phone	
National ID & Passport Details	
National ID No. Issue Date:	
Passport No	
Bank Details	
Bank Name	
Electronic Dividend Credit: Yes V No Tax Exemption if any: Yes No V TIN / Tax ID.	
Others Information	
Residency: Resident Non Resent Nationality Date of Birth (DDMMYYYY)	
Statement Cycle Code Daily Weekly Fortnightly Monthly Other (Please Specity)	
Internal Ref. No (To be filled in by UNIROYAL SECURITIES LIMITED)	tion (DDMMYYYY)
In Case of Company: Registration No	
Joint Applicant (Second Account Holder)	
Name in Full (Up to 99 Characters)	
Short Name of Account Holder (insert full name starting with Title i.e Mr./Mrs./Ms./Dr. abbreviate only if over 30 characters) Title i.	

(Bye Law 7.3.3(b))

Account Link Request

Would you like to create a link to your existing Depository Account? Yes No

If yes, then please provide the Depository BO Account Code (8 Digits):

Nominees/ Heirs

If account holder(s) wish to nominate person(s) who will be entitled to receive securities outstanding in the account in the event of the death of the sole account holder / all the joint account holders, a separate nomination Form -23 must be filled up and signed by all account holders and the nominees giving names of nominees, relationship with first account holder, percentage distribution and contact details. If any nominees is a minor, guardian's name, address, relationship with nominee has also to be provided.

Power of Attorney(POA)

(Signature of Introducer)

If account holder(s) wish to give a power of attorney (POA) to someone to operate the account, a separate Form-20 must be filed up and signed

by all account holders givi	ing the name contact details	etc. of the POA holder and a F	POA document lodged with the	e form.
To be filled in by the	Stock Broker / Stock Exc	change in case the applica	tion is for opening a Clea	aring Account
Photograph				
- Hetegraph				
	Please paste		Please paste	
	recent passport size Photograph		recent passport size Photograph	
				-
	(First Application)		(Second Application)	
Standing Instructions				
<u> </u>				
I/We authorized you to received facsimile (fax) transfer instructions for delivery. Yes No				
DECLARATION				
				ch are in force now have been
read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such				
application. I/We further a liable for termination and		g information given by me/us o	r suppression of any material	fact will render my/our account
Applicants	l N	lame	Sign	nature
First Applicant			J.g.	
Second Applicant				
Introduction				
Introduction by an existing	g account holder of			
		licant(s)		

Account Holder/s Nomination Form

Please complete all details in CAPITAL Letters. Please fill all names correctly. Names once captured cannot be changed. All communications shall be sent to the correspondence address of only the first Named Account Holder as specified in BO Account Form-02.

Application No			Date (DDMMYYYY)		
DP ID 1 7 7 0 0	BO ID				
ACCOUNT HOLDER Nan	ne in Full				
Short Name of Account Holder (In	sert full name starting with Title i.e. Mr	:/Mrs./Ms./Dr. abbreviate or	nly if over 30 characters)]	
	ng person(s). who is/are entitle		ies outstanding in my/our ac	count in the event	
of the death of the sole sole	e holder / all the joint holders.				
Naminas Nama in Full					
	full name starting with Title i.e Mr.			Title i.e. Mr./Mrs	
Short Name of Norminee (insert	Tuil hame starting with Title i.e wir.	/MIS./MS./DI, appreviate	e only it over 50 characters)	Title i.e. ivii./iviis	
Polationahin with A/C Holder	<u> </u>		Percentage (9()		
·					
City Pos	st Code State / Division .	Coun	ntry Telephone .		
	Fax E-mail				
Residency: Resident No.	Issue Place n Resident Nationality	Issue Date Date of			
	ee is a Minor): Name in Full				
· ·	starting with Title i.e. Mr./Mrs./N				
Relationship with nominee	Date of Birth (DDMM	IYYYY)	Maturity Date of Minor (DDMMYYY	Y)	
	st Code State / Division . Fax E-mail				
	Issue Place				
Residency: Resident No.	n Resident Nationality	Date of	f Birth (DDMMYYYY)		
Please paste recent Pl		Pleas	ease paste recent		
pa	assport size Photograph	passpor	rt size Photograph		
	Nominee / Heir	G	uardian 1		
	Name		Signature		
Nominee / Heir 1					
Guardian 1					
First Account Holder					
Second Account Holder					

Date (DDMMYYYY)

Application No.....

Beneficiary Owner:

Power of Attorney (POA) Form

Please complete all details in CAPITAL letters. Please fill all names correctly. Names once captured cannot be changed. All communication shall be sent to the correspondence address of only the First Named Account Holder as specified in Account Opening Form-02.

Depository Participant ID 1 7 7 0 0		
Account holder's BO ID		
Name of Account Holder (Insert full name starting with Title i.e.Mr./Mrs./Ms/Dr abbreviate only if over 30 characters)		
Power of Attorney Holder:		
Name in Full		
Short Name of Nominee (insert full name starting with Title i.e Mr./Mrs./Ms./Dr, abbreviate only if over 30 characters) Title i.e.		
Power of Attorney Holder's Contact Details:		
Address		
City		
Mobile Phone		
Power of Attorney Holder's Passport Details:		
Passport No		
Others Information of Power of Attorney Holder		
Residency: Resident Non Resident Nationality Date of Birth (DDMMYYYY)		
Power of Attorney Effective From To		
Remarks (Insert reference to POA document i.e Specific POA or General POA etc.):		
DECLARATION Photograph of POA		
The rules and regulations of the Depository and ASOKE DAS GUPTA & CO. pertaining to an account which are in force now have been read by me/us and I/we have understood the same I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/ we also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of my material fact will render my/our account liable for termination and further action. Please paste Recent passport Size Photograph		
Name Signature		
POA Holder Signature		
First Account Holder		
Second Account Holder		

(Bye Law 7.3.3 (b)) Form 02

Depository Account (BO Account) opened with CDBL Participant

Terms & Conditions - Bye Laws 7.3.3(c)

Dear Sir,

CDBL Participant. Dhaka / Chittagong / Sylhet Bangladesh

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of UNIROYAL SECURITIES LIMITED (the CDBL Participant") opening the account providing depository account facilities to me/us, f/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below.

- 1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
- 2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instruct the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
- 3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreedupon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
- 4. I/we shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents:
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
- 5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
- 6. Where I / we have executed a BO Account Nomination Form.
 - (a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
 - (b) In the event, the nominee so authorized remains a minor at the time of my/our death, the legal guardian is authorized to received to receive/draw the securities held in my/our account.
 - (c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
- 7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:
- (a) By rematerialization of all existing balances in my/our account;
- (b) By transfer of all existing balances in my/our account to one or more of my /our others account(s) held with any other CDBL Participant(s);

(Bye Law 7.3.3 (b)) Form 02

(c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my/our other account(s) with any other CDBL Participant(s):

- 8. CDBL Participant covenants that it shall:
 - (a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
 - (b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
 - (c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
 - (d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf:
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his I its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
 - (e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
 - (f) promptly attend to all grievances I complaints of the Account Holder and shall resolve all such grievances complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances I complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
- 9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
 - (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date c demand made in that behalf;
 - (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
 - (c) Commits or participates in any fraud or other act of moral turpitude in his I its dealings with the CDBL Participant;
 - (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applications	Full Name	Signature with Date
First Applicant		
Second Applicant		

BROKERAGE ACCOUNT AGREEMENT

In consideration for UNIROYAL SECURITIES LIMITED opening and maintaining one or more Accounts for me. I agree to the terms and conditions set forth in this Brokerage Account Agreement ("Agreement"), as amended from time to time.

1. ACCOUNT PROVISIONS

- (A) TRUE AND ACCURATE INFORMATION: OWNERSHIP The information I have provided on my Account Application is current, accurate, truthful and complete. Unless otherwise required by this Agreement, I agree to notify UNIROYAL SECURITIES LIMITED of any change to the information. I provide on my Account Application promptly, but in any event within thirty (30) days of such change. I agree to indemnify and hold UNIROYAL SECURITIES LIMITED and its affiliates harmless from and against any and all loss, liability, cost, judgment, arbitration award, settlement, tax, penalty, action, damage, charge, expense or fee (including attorneys fees and costs of collection) of any nature whatsoever, and claims therefor (collectively, "Losses") arising out of or relating to my failure to provide true and accurate information on my Account Application or to update such information as required.
- (B) FEES, COMMISSIONS AND ACCOUNT MINIMUMS I agree promptly to pay brokerage commissions, charges and other fees as set forth in UNIROYAL SECURITIES LIMITED then-current fee schedule and as applicable to my account and the transactions and services I may receive. I authorize UNIROYAL SECURITIES LIMITED automatically to debit my account for any such brokerage commissions, charges, and fees. A Schedule of the current fees and commissions is available with the trading office of UNIROYAL SECURITIES LIMITED at 45 Dilkusha C/A (2nd Floor), Dhaka 1000. UNIROYAL SECURITIES LIMITED may modify the fee structure anytime by posting a modified schedule in its trading office. UNIROYAL SECURITIES LIMITED may require me to make a minimum deposit to open an Account and to maintain a minimum balance in the account thereafter. If my Account's value falls below the minimum balance or my Account is inactive, UNIROYAL SECURITIES LIMITED may charge additional fees or, if it deems appropriate in its discretion, close my account.
- (C) ACCOUNT TYPES UNIROYAL SECURITIES LIMITED offers many different account types, including individual accounts, corporate accounts and joint accounts (together, "accounts"), custodial, estate, trust, partnership and corporate accounts.
 - Unless I indicate on my Account Application that I do not desire margin privileges, UNIROYAL SECURITIES LIMITED will, at its discretion (unless otherwise required by law), treat my Account Application as a request to open a Margin Account. UNIROYAL SECURITIES LIMITED reserves the right to limit the number of Cash and/or Margin Accounts I maintain (or have a beneficial interest in) at any one time.
- (D) JOINT ACCOUNTS If there is more than one account holder, the legal ownership of the Account will be as designated on the Account Application. If no designation is made, each Account holder directs UNIROYAL SECURITIES LIMITED to establish the Account as joint tenants with rights of survivorship.

If there is more than one Account holder, each Account holder agrees to be jpintly and severally liable for all obligations arising under this Agreement or otherwise relating to the Account, including responsibility for orders requested through the Service, regardless of which Account holder gives such instructions, or requests such orders. Each Account holder has full authority, acting individually and without notice to any other Account holder, to deal with UNIROYAL SECURITIES LIMITED as fully and completely as if such Account holder were the sole Account holder. Each of us authorizes UNIROYAL SECURITIES LIMITED to follow the instructions of any one Account holder concerning any matter pertaining to the Account. This includes purchase and sale of securities (on margin or otherwise), delivery of any or all Securities in the Account to any Account holder or to any third party, or disbursement of any or all monies in the Account. UNIROYAL SECURITIES LIMITED is not responsible for determining the purpose or propriety of any instruction received from any Account holder as against any other Account Holder, or of any disposition of payments or deliveries of Securities between or among Account Holders.

Each of us agrees that, on the death or disability of an Account holder, divorce of married Account holders, or other event that causes a change in ownership or capacity with respect to the Account, the remaining Account holder(s) will immediately give UNIROYAL SECURITIES LIMITED official written notice of such change of ownership or capacity. UNIROYAL SECURITIES LIMITED wilt not be responsible for any transfers, payments or other transactions in the Account made at the direction of a former Account holder or capacitated Account holder before UNIROYAL SECURITIES LIMITED actually received and had a reasonable amount of time to act on such official written notice. Following receipt of such official written notice, UNIROYAL SECURITIES LIMITED may require additional reserves the right to retain such assets in and/or restrict transactions in the Account as It deems advisable in its sole discretion to project itself against any Losses in the Account arising out of or relating to transactions initiated before UNIROYAL SECURITIES LIMITED actually received and had a reasonable amount of time to act on such official written notice.

UNIROYAL SECURITIES LIMITED will not notify other Account holders of the actions taken by any one Account holder. Each Account holder agrees that notice provided to any one Account holder will be deemed to be notice to all Account holder for all purposes.

- (E) **INTEREST CHARGES ON DEBIT BALANCES** I will be charged interest on any Debit Balance in any of my Accounts, as disclosed to me pursuant to the provisions under SEC and on any and all monies owed by me to UNIROYAL SECURITIES LIMITED following termination of my Account. Additional information and a detailed explanation of the computation of interest charges applicable to Debit Balances and Margin Accounts is located under "Margin Accounts" (Section 4).
- (F) SATISFACTION OF INDEBTEDNESS I agree to satisfy any indebtedness to UNIROYAL SECURITIES LIMITED and pay any Debit Balance in any of my Accounts on demand. My Account will not be closed until I cause to be delivered to UNIROYAL SECURITIES LIMITED all Securities that the Account is short and all funds to pay in full for all Securities that the Account is long. UNIROYAL SECURITIES LIMITED right under this paragraph are in addition to and with full reservation of UNIROYAL SECURITIES LIMITED right to take any additional action, including legal action, to recover any indebtedness I may owe to UNIROYAL SECURITIES LIMITED.
- (G) LIEN AND LIQUIDATION REMEDIES I agree that all Securities held in my Accounts and all rights, whether due or not, that may I have against UNIROYAL SECURITIES LIMITED will be subject to a first, perfected and prior lien, security interest and right of set-off and held as security by UNIROYAL SECURITIES LIMITED or its affiliates for the discharge of any indebtedness or obligation I may have to UNIROYAL SECURITIES LIMITED, however such obligation may have arisen. I understand that UNIROYAL SECURITIES LIMITED, to the extent permitted by law, may anytime and without giving me prior notice, use, liquidate and/or transfer any-or all Securities to satisfy any indebtedness or obligation to UNIROYAL SECURITIES LIMITED, however such obligation may

have arisen. In the event of a breach or default by me under this Agreement, UNIROYAL SECURITIES LIMITED will have the rights and remedies available to a seacurd creditor under a I r applicable laws in addition to the rights and remedies provided in this Agreement.

I further agree that if; (i) I default on any of my obligations under this Agreement, (ii) I become bankrupt, insolvent or subject to a similar condition or subject to any bankruptcy, reorganization, insolvency or other similar proceeding, or (iii) UNIROYAL SECURITIES LIMITED in its discretion, deems it advisable for Its protection, UNIROYAL SECURITIES LIMITED may, anytime and without prior notice to me: (a) cancel, terminate, accelerate, liquidate and/or close out any or all agreements or transactions between me and UNIROYAL SECURITIES LIMITED or otherwise relating to the Account and calculate damages in a manner it believes appropriate, (b) pledge, transfer or sell any Securities in the Account (including those accounts held by me with affiliates of UNIROYAL SECURITIES LIMITED) or any other Account in which I have an interest, either individually or jointly with others, or (c) take any other action as UNIROYAL SECURITIES LIMITED, in its discretion deems appropriate with respect to any of the foregoing and apply the proceeds to the discharge of the obligation. In pursuing the remedies available to it, UNIROYAL SECURITIES LIMITED may, without limiting its rights under this paragraph, set off amounts that I owe . to it against any amounts that it owes to me and I will remain liable for any deficiency. I agree to indemnify and hold UNIROYAL SECURITIES LIMITED and its affiliates harmless from and against any Losses incurred in connection with enforcing its lien or any other remedies available to it. In enforcing its rights hereunder, UNIROYAL SECURITIES LIMITED may act in its discretion without regard to any tax or other consequences that I may face as a result of such actions.

- (H) DISCLAIMER OF LIABILITY I understand and agree that UNIROYAL SECURITIES LIMITED and Its affiliates will not be liable to me or to third Parties, or have any responsibility whatsoever, for: (a) any Losses arising out of or relating to a cause over which UNIROYAL SECURITIES LIMITED or its affiliates DO NOT HAVE DIRECT CONTROL, including the failure of electronic or mechanical equipment or communication lines, telephone or other interconnect problems, unauthorized access, theft, government restrictions, force majeure (I e earthquake, flood, severe or extraordinary weather conditions, natural disasters or other act of God, fire, acts of war, terrorist attacks! insurrection, riot, strikes, labor disputes or similar problems, accident, action "of government, communications, system or power failures and equipment or software, malfunction), exchange or market rulings or suspension of trading; or (b) any special, indirect incidental consequential, punitive or exemplary damages (including lost profits, trading losses and damages) that I may incur in connection with mv use of the Service provided by UNIROYAL SECURITIES LIMITED under this Agreement.
- (I) RESTRICTIONS ON ACCOUNT SERVICES I understand that UNIROYAL SECURITIES LIMITED may place trading, disbursement, service or other restrictions on my Account for reasons including court order, tax levy or garnishment, request of a government agency or law enforcement authority, a Debit Balance or margin deficiency in my Account, or in the event of a dispute between Joint Account holders. I understand that UNIROYAL SECURI i IES LIMITED may be required to liquidate or close out Securities in my Account to satisfy any such court order, garnishment, tax levy or other legal obligation. UNIROYAL SECURITIES LIMITED will not be held liable for any losses that arise out of or relate to any such transaction and I agree to indemnify and hold UNIROYAL SECURITIES LIMITED and its affiliates harmless from and against any Losses they may incur in taking such actions.
- (J) **TERMINATION OF ACCOUNTS** I may close my Acgount anytime, after all Debit Balances are paid, on written or oral notice to UNIROYAL SECURITIES LIMITED UNIROYAL SECURITIES LIMITED reserves the right to terminate my Account without notice, for any reason or for no reason. The terms and conditions of this Agreement will survive termination of my Account and will continue to apply to any disputed or other remaining matters involving my relationship with UNIROYAL SECURITIES LIMITED After the termination of my Account, I will remain liable to UNIROYAL SECURITIES LIMITED for payment of any indebtedness or obligation to UNIROYAL SECURITIES LIMITED, plus interest as provided under this Agreement.

2. UNIROYAL SECURITIES LIMITED BROKERAGE SERVICES

I ACKNOWLEDGE THAT I ALONE AM RESPONSIBLE FOR DETERMINING THE SUITABILITY OF MY INVESTMENT CHOICES IN LIGHT OF MY PARTICULAR CIRCUMSTANCES. I UNDERSTAND THAT UNIROYAL SECURITIES LIMITED ASSUMES NO RESPONSIBILITY FOR SUCH DETERMINATION. As a self-directed investor, I assume full responsibility for each and every transaction in or for my Account and for my own investment strategies .and decisions.

- (A) NO ADVICE UNIROYAL SECURITIES LIMITED does not and will not provide me with any advice regarding the suitability, profitability or appropriateness for me of any security, investment, financial product, Investment strategy or other matter. I acknowledge that UNIROYAL SECURITIES LIMITED employees are not authorized to give any such advice and I will neither solicit nor rely on any investment advice fro'm any UNIROYAL SECURITIES LIMITED employee. Any information provided through the Service will not be used or considered by me as a recommendation that I buy, sell or hold a particular security or pursue any particular investment strategy.
- (B) TRANSACTION CONFIRMATIONS AND ACCOUNT STATEMENTS it is-my responsibility to review all confirmations of transactions and account statements by requesting UNIROYAL SECURITIES LIMITED to provide me with such reports. UNIROYAL SECURITIES LIMITED is entitled to treat the terms of the confirmation as accurate and conclusive unless I object within two (2) days of the transaction date. If I object to a transaction for any reason. I understand that I will attempt to limit any Losses that may result from such transaction. I understand and agree that unless I take such action to limit Losses, I will bear sole responsibility for any and all further Losses that may occur thereafter, even if my objection to the initial transaction is ultimately determined to be valid.

3. TRADING PROVISIONS

- (A) RESPONSIBILITY FOR ORDERS All orders for the purchase and sale of Securities given for my Account will be authorized by me and executed in reliance on my promise that an actual purchase or sale is intended. It is my intention and obligations to deliver Securities before placing sell orders and to pay prior to placing buy orders.
- (B) APPLICABLE RULES AND REGULATIONS All transactions in my Account will be subject to the constitution, rules, regulations, customs and usages of the exchange or market, and its clearinghouse (Dhaka Stock Exchange Limited), where such transactions are executed by UNIROYAL SECURITIES LIMITED or its agents, as well as UNIROYAL SECURITIES LIMITED house trading rules and policies.

IN THE UNFORTUNATE EVENT THAT I RECEIVE FAKE SECURITIES. I INDEMNIFY UNIROYAL SECURITIES LIMITED FROM ANY LOSS OR LIABILITIES I INCUR DUE TO THE FAKE SECURITIES, AND UNDERSTAND THAT THE CLEARING HOUSE, DHAKA

SOCK EXCHANGE LIMITED WILL BE THE GOVERNING BODY DEALING WITH THIS ISSUE IN SETTLING THE MATTER IN THEIR EARLIEST CONVENIENCE.

IF I DELIVER SECURITIES FOR SALE TO UNIROYAL SECURITIES LIMITED AND THE SECURITIES TURN OUT TO BE FAKE, I WILL BE RESPONSIBLE TO BUY THE GOOD SECURITIES FROM THE MARKET AT THE THEN MARKET PRICE FOR UNIROYAL SECURITIES LIMITED, AS WELL AS BE LIABLE FOR ANY LOSSES OR FINES INCURRED BY UNIROYAL SECURITIES LIMITED FOR THE DELIVERY AND SALE OF SUCH FAKE SECURITIES ON MY BEHALF.

- (C) MARKET VOLATILITY, MARKET ORDERS AND LIMIT ORDERS I understand that, whether I place a market or limit order. I will receive the price at which my order is executed in the marketplace. The execution price received may differ from the quote provided on entry of an order, and I may receive partial executions of an order at different prices, I understand that UNIROYAL SECURITIES LIMITED is not liable for any such price fluctuations. To avoid buying a security at a higher price and possibly exceeding my buying power, or selling it at a lower price than I desire, I understand my option to enter a limit order. I also understand that limit orders may not be executed at any particular time, or at all if there is not sufficient trading at or better than the limit price I specify.
- (D) **PURCHASES** I promise to pay for all securities purchased in my Account by deposit of the appropriate cash amount on or before Transaction/Purchase Date. I am responsible for my orders, including any order that may exceed my Available Funds. If payment is not received by Transaction/Purchase Date, or as market conditions warrant anytime before or after settlement, UNIROYAL SECURITIES LIMITED may in its sole discretion liquidate and close out any and all Securities in my Account to satisfy my payment obligation, without prior notice and without regard for any previous demand or agreement concerning the time for payment. In the event my Account is liquidated, I will be liable for any Losses incurred by UNIROYAL SECURITIES LIMITED.
- (E) SALES UNIROYAL SECURITIES LIMITED requires that a security be held in an Account prior to the acceptance of a sell order with respect to such security. 1 must deliver securities to UNIROYAL SECURITIES LIMITED for receipt in good deliverable form on or before the Transaction/Sale Date. Any order accepted without negotiable certificates or positions in the Account will be subject, at UNIROYAL SECURITIES LIMITED' sole discretion, io cancellation or buy-in. To help ensure this will not occur, I agree that I will place sell orders only for securities owned by ms and held in my UNIROYAL SECURITIES LIMITED Account at the time my order is placed. If the securities are -not received on or before Transaction/Sale Date, or as market conditions warrant, UNIROYAL SECURITIES LIMITED may in Its sole discretion purchase the securities on the open market for my Account and may liquidate and close out any and ail Securities in my Account in order to pay for such purchase, In the event securities are bought in, I will be responsible for all resulting Losses incurred by UNIROYAL SECURITIES LIMITED
- (F) **FREE-RIDING** Free-riding is the practice of selling previously held securities to meet obligations to pay for current purchases. Freeriding violates Security Exchange Commissions Regulations. I will not engage in any free-riding transactions in my Account, If I am found io have engaged in free-riding, regardless of whether the activity resulted in a profit, my Accounts may be restricted or closed, ! will be responsible for any Losses arising out of or relating to any free-riding transactions in my Account. If I lose money in free-riding transactions that create a Debit Balance, I will be responsible for repaying that Debit Balance.
- (G) CANCELLATION REQUESTS, ORDER CHANGES, LATE AND CORRECTED REPORTS A cancel instruction is only a request and, as such, cancellation of my order is not guaranteed. UNIROYAL SECURITIES LIMITED processes requests to cancel on a best efforts basis and is not liable to me if it is unable to change or cancel an order, My order will be canceled only if my cancellation request is received in the marketplace and matched up with the existing order before the order is executed. The ability to cancel an order depends in large part on the volume of trading in the particular security and marketplace and market conditions as a whole, over which UNIROYAL SECURITIES LIMITED has no control.
- (H) NO RECOMMENDATION OF DAY TRADING (NETTING) Day Trading is not permitted by SEC Regulations. However, from time to time. SEC may allow Day Trading (Netting). UNIROYAL SECURITIES LIMITED does not recommend or endorse what is commonly referred to as "day trading," that is, the practice of purchasing and selling (or selling and purchasing) the same security Within one day's trading. I understand that engaging in the practice of day trading is extremely risky and is not appropriate for customers with limited resources, limited investment or trading experience or a low risk tolerance.

4. MARGIN ACCOUNTS

I understand that when I trade on margin, I am borrowing money or securities from UNIROYAL SECURITIES LIMITED I also understand that while trading on margin may present a greater opportunity for profit, it also presents a higher degree of risk. I agree to carefully consider whether trading on margin is suitable for me in light of my financial resources, objectives and other relevant circumstances. As noted above, I understand that unless I specify otherwise UNIROYAL SECURITIES LIMITED will treat my Account Application as a request to open a Margin Account.

Margin Account allows me to borrow money or securities from UNIROYAL SECURITIES LIMITED using acceptable securities or cash as collateral for the loan on the terms contained in this Agreement and in accordance with all applicable laws and regulations. How much of the-purchase price must be in my Account at the time I place my order and my margin maintenance requirements are determined by the Margin Rules 1999, applicable exchange or DSE rules, SEC Regulations and by UNIROYAL SECURITIES LIMITED For UNIROYAL SECURITIES LIMITED reserves the right, anytime and without prior notice to me, to impose stricter requirements than those imposed by Margin Rules 1999, applicable exchange or DSE rules, and SEC Regulations, or to refuse to permit trading on margin, UNIROYAL SECURITIES LIMITED margin terms, policies and procedures are subject to change without notice. UNIROYAL SECURITIES LIMITED also retains the right to refuse anytime to offer credit on certain securities due to concentration, price, market volatility or other conditions. All margin loans are fully callable without notice.

I may be required to deposit a minimum amount Io open a Margin Account. Generally, UNIROYAL SECURITIES LIMITED requires that I have at least Tk, 10,000 in equity in my Account, or such higher amount as required by UNIROYAL SECURITIES LIMITED or applicable rules and regulations, before it will extend credit to me. Generally, UNIROYAL SECURITIES LIMITED can loan me no more that 50% of total equity, i.e., Available Cash plus Securities held with UNIROYAL SECURITIES LIMITED However, this initial equity requirement may be raised anytime without prior notice to me. UNIROYAL SECURITIES LIMITED will act as my broker to purchase or sell securities on margin. I agree to maintain in all Margin Accounts with UNIROYAL SECURITIES LIMITED, such positions and margin as required by all applicable laws, Margin Rules 1 999, rules, regulations, procedures and customs or as UNIROYAL SECURITIES LIMITED deems necessary and advisable. I agree to promptly satisfy all margin and maintenance calls.

- (A) **COLLATERAL**; **LIQUIDATIONS AND COVERING** POSITIONS UNIROYAL SECURITIES LIMITED may require me deposit additional collateral and/or may liquidate positions in my Account for any of the following reasons:
 - 1. if the value of my securities and other assets falls or if the initial equity requirement is raised;
 - 2. if I fail to promptly meet any call for additional collateral;
 - 3. if I indicate to UNIROYAL SECURITIES LIMITED that I do not intend to meet a call for additional collateral;
 - **4.** If I file a petition in bankruptcy or if such a petition is filed against me;
 - **5.** if I seek or acquiesce to the appointment of a receiver;
 - 6. if an attachment is levied against any of my Accounts or any Accounts in which I have an interest;
 - 7. if I die: or
 - 8. any other circumstances which in UNIROYAL SECURITIES LIMITED' opinion warrants such actions.

UNIROYAL SECURITIES LIMITED is not obligated .to notify me when a call is due and can liquidate or buy any security to cover positions anytime without demand for additional funds. UNIROYAL SECURITIES LIMITED can sell any and all Securities in my Account whether carried individually or jointly with others. UNIROYAL SECURITIES LIMITED can buy and sell securities that may be short in such Accounts, or cancel any open orders. Any prior demand or notice will not be deemed a waiver of UNIROYAL SECURITIES LIMITED's right to take these actions.

- (B) LOAN OR PLEDGE OF SECURITIES I authorize UNIROYAL SECURITIES LIMITED to lend either to itself or to others any Securities held by UNIROYAL SECURITIES LIMITED in my Margin Account to the extent permitted by iaw, I understand that within the limitations imposed by applicable laws, rules and regulations ail of my securities may be pledged and repledged and hypothecated and rehypothecated by UNIROYAL SECURITIES LIMITED This can occur from time to time without notifying me, either separately or together with other Securities of other customers of UNIROYAL SECURITIES LIMITED, for any amount due UNIROYAL SECURITIES LIMITED in any Account in which I have an interest.
- (C) INTEREST COMPUTATION I will be charged interest on a daily basis on all credit extended to me. The dally interest charge is calculated by multiplying my daily-adjusted Debit Balance by the daily margin interest rate described in Section 4(D). My adjusted Debit Balance is calculated by adjusting my previous day's Debit Balance by the debits and credits associated with the Account for the current day and by any change in the value of any short positions ("mark-to-market") for the current day. Short positions will be marked-to-market following every Business Day and any increase in the market value of short securities will be treated as a debit, and will be added to my Debit Balance. A Debit Balance due to a trade settling in a Cash Account will increase the amount of margin interest charged. Dividends and interest will be credited to the Account and will be considered part of a Free Credit Balance when calculating credit interest, if my daily adjusted Debit Balance is reduced because a check or other item deposited by me is later returned, to UNIROYAL SECURITIES LIMITED unpaid, UNIROYAL SECURITIES LIMITED may adjust my Account to reflect interest charges I may have incurred.

UNIROYAL SECURITIES LIMITED uses a calendar month basis to calculate interest from the second Business Day before the end of the prior month through the third Business Day before the end of the current month. Settlement date Debit Balances and Free Credit Balances in the Cash Account will be applied to the Margin Account balance for calculation purposes if the Margin Account has a Debit Balance. UNIROYAL SECURITIES LIMITED reserves the right to charge interest on Debit Balances in a Cash Account and all interest charges are calculated on a 360-day basis using Transaction Day balances. I understand the use of a 360-day year results in a higher effective rate of interest than if a year of 365 days were used. I understand further that my interest will be compounded on a daily basis and accrue to my Account each day. The interest rates described In Section 4(D) below do not reflect compounding of unpaid interest charges; the effective interest rate, taking into effect such compounding, will be higher.

(D) MARGIN INTEREST RATES The interest rate for margin loans is based on the UNIROYAL SECURITIES LIMITED Base Rates.

AVERAGE DEBIT BALANCE:	MARGIN INTEREST RATE CHARGED
Less than Tk.50,000	3% above Base Rate
Tk.50,000 – 99,999	2% above Base Rate
TK.100.000 – 249,999	1% above Base Rate
Tk 250 00 or more	Base Rate

The Base Rates are set with reference to commercially recognized interest rates, industry conditions relating to the extension of margin credit and general market .conditions. The current margin interest rates are available by calling Customer Service at 02-956-3074, I understand that my Base Rate may be adjusted automatically and without notice to me

Prior to applying for a Margin Account. I acknowledge that I have carefully considered my financial condition, investment objectives and my tolerance for risk along with the provisions of this Agreement.

5. OTHER PROVISIONS AND DISCLOSURE

(A) MODIFICATION OF AGREEMENT OR SERVICE I understand that UNIROYAL SECURITIES LIMITED may change any of the terms and conditions of this Agreement and/or eliminate any term or condition anytime. UNIROYAL SECURITIES LIMITED reserves the right, but does not intend to follow it as a matter of course, to notify me of modifications to the Agreement by mailing or e-mailing a written notice or new Agreement to me, I understand that the normal method of notifying me of modifications to the Agreement will be to post the information on the UNIROYAL SECURITIES LIMITED Web site. I also agree that UNIROYAL SECURITIES LIMITED may change its Service anytime and that it is not obligated to provide me with notice of such a change..

I agree that use of the Service after a change to the Service or notice of a change to this Agreement, or if I do not close my Account within (15) calendar days of the change to the Service or notice of a change to the Agreement, means that I accept the change, whether or" not I actually know of it, except that changes required by law will be effective immediately.

- (B) SEVERABILITY, WAIVER AND EFFECTIVENESS If any provision of this Agreement is held to be invalid, void or unenforceable by reason of any law, rule, administrative order or judicial decision, that determination will not affect the validity of the remaining provisions of this Agreement. Except as specifically permitted in this Agreement, no provision of the Agreement can be, nor will it be deemed to be, waived, altered, modified or amended unless agreed to in writing signed by an authorized officer of UNIROYAL SECURITIES LIMITED. This Agreement will not be effective until accepted by UNIROYAL SECURITIES LIMITED in its Dhaka offices any such acceptance may be evidenced by internal records maintained by UNIROYAL SECURITIES LIMITED
- (C) NON-WAIVER UNIROYAL SECURITIES LIMITED' failure to insist on strict compliance with this Agreement or any other course of conduct on our part will not be deemed a waiver of UNIROYAL SECURITIES LIMITED' rights under this Agreement.
- (D) SUCCESSORS This Agreement will pass to the benefit of UNIROYAL SECURITIES LIMITED and its successors, assigns and agents, on In addition, I hereby agree that this Agreement and all the terms hereof, will be binding on my heirs, executors, administrators, personal representatives and any assigns permitted by UNIROYAL SECURITIES LIMITED
- (E) POWER OF ATTORNEY I agree and hereby irrevocably appoint UNIROYAL SECURITIES LIMITED, with full power as my true and lawful attorney-in-fact, to the full extent permitted by law, for the purpose of carrying out the provisions of this Agreement and taking any action and executing any instrument that UNIROYAL SECURITIES LIMITED deems necessary or advisable to accomplish the purposes of this Agreement.
- (F) POWER AND AUTHORITY If I am a natural person, I represent that I have attained the age of majority and have the legal capacity to enter into this Agreement and perform .my obligations under it. If I am a legal entity, including a corporation, partnership, estate or trust, I represent that I have all necessary power and authority to execute and perform this Agreement and that the execution and performance of this Agreement will not cause me to violate any provisions in my charter, by-laws, partnership agreement, trust agreement or other constituent agreement or instrument. I further represent that this Agreement, as amended from time to time, is my legal, valid and binding obligation, enforceable against me in accordance with its terms.
- (G) ENTIRE UNDERSTANDING; ASSIGNMENT This Agreement, all other written agreements and terms contained on statements and confirmations contain the entire understanding between UNIROYAL SECURITIES LIMITED and me. This Agreement supersedes any previous agreements that I have made with UNIROYAL SECURITIES LIMITED individually with regard to my Account, and if the Account is held jointly, it supersedes any previous agreements made by the same parties to this Agreement, to the extent that the subject matter is covered by this Agreement. UNIROYAL SECURITIES LIMITED may assign its rights and duties under this Agreement to any of its successors, subsidiaries or. affiliates without giving me notice, or to any other entity on prior written notice to me. I may not assign the rights and obligations under this Agreement without first obtaining the prior written consent of UNIROYAL SECURITIES LIMITED Any purported assignment in violation of this Agreement will be void.

DURATION OF CONSENT. This consent will be effective immediately and will remain in effect unless and until either SECURITIES LIMITED revokes it.

CONSENT AND REPRESENTATIONS. I hereby agree that I have carefully read the above information regarding informed consent and fully understand the implications thereof. I hereby agree to the conditions outlined. I also agree that I will, maintain a valid address and continue to communicate with UNIROYAL SECURITIES LIMITED when deemed necessary. If my address changes, I agree to notify UNIROYAL SECURITIES LIMITED of my new address immediately in writing (for example, by submitting a completed change of address through postal service).

EXECUTION OF AGREEMENT This Agreement is executed in duplicate and a copy each shall be retained by each of the parties hereto.

IN WITNESS WHEREOF the parties hereto have hereunto set and subscribed their respective hands/seals to this Agreement in duplicate on the day, month, year and place first hereinabove mentioned.

Applicants	Full Name	Signature with Date
First Applicant		
Second Applicant		

Account Closing Request

Application No.	Date			
To	D D M M Y Y Y			
UNIROYAL SECURITIES LIMITED	DP ID: 17700			
I/We the Sole Holders/ Guardian (in case of minors) / Cleaning With you. The details of my / our account are as indicated below				
Account Holder's Details				
Account ID				
Name of Account Holder				
Name of Second Account Holder				
Name of Third Account Holder				
Closure Details				
Reason for Closure of Account				
Details of Remaining Security Balance in the Account (if any)				
Whether to be partly rematerialized and party transferred: YES NO				
To be rematerialized YES NO To be Transferred to another Account: YES NO				
Whether any of the following is Applicable (To be filled by DP): Ear-marked Pledged Frozen				
Name of Account Holder/s	Signature/s			

CHECKLIST FOR ACCOUNT OPENING:

- (1) Three Passport Size Photos to Each Applicant
 - Name and Signature of the Applicant is required at the back of the Photo
 - The photos must be duly attested by a Gazette Officer
- (2) Other Documents:
- (a) Photocopy of National ID signed by the Applicant, and duly attested by a Gazette Officer, AND
- (b) Bank Statement or Certificate attested by the Bank Officer

OR

- (a) Photocopy of Passport signed by the Applicant, and duly attested by a Gazette Officer, AND
- (b) Bank Certificate, which must include the following:
 - i. Father's Name
 - ii. Mother's Name
 - iii. Husband/ Wife's Name (Optional)
 - iv. Photo of Account Holder (Attested by Bank Officer)
 - v. Signature of Account Holder as recorded with the Bank (Attested by Bank office)